



cutting through complexity

2014/15 External Audit Presentation to the Governors

Ashford and St. Peter's Hospitals NHS Foundation Trust

15 July 2015

Agenda

- Link to your responsibilities
- Headlines from our work
- Financial statements detailed findings
- Use of resources detailed findings
- Quality report detailed findings
- Questions

1. Link to your responsibilities

Overarching duties		
1	Hold the Non-Executive Directors, individually and collectively, to account for the performance of the Board	✓
2	Represent the interests of the members of the Trust as a whole and the interests of the public	✓
Appointment duties		
3	Appointing and removing the Chair and other Non-Executive Directors	✓
4	Appointing a Deputy Chair	✓
5	Appointing a Lead Governor	✓
6	Appointing Deputy Lead Governors	✓
7	Approving the appointment of the Chief Executive	✓
8	Appointing and removing the external auditor	✓
Decision making duties		
9	Decide Chair and Non-Executive Directors remuneration and allowances	✓
10	Taking decisions on significant transactions	✓
11	Taking decisions on mergers, acquisitions, separations and dissolutions	✓
12	Taking decisions on non-NHS income	✓
Corporate document duties		
13	Approve amendments to the Trust's constitution	-
14	Preparing the forward plan	-
15	Receiving the annual report and accounts	★
✓ - aspects associated with this responsibility reported in the documents		★ - fulfilling this responsibility

2. Headlines from our work

Financial Statements

- Unqualified (clean) opinion on the financial statements.

Means we have checked that amounts the Trust says it has received and spent and money it owes and is owed are correctly recorded. We have also checked where Management has to use judgements, that those judgements are well thought through and appropriate.

Use of Resources

- Unqualified (clean) conclusion on the use of resources.

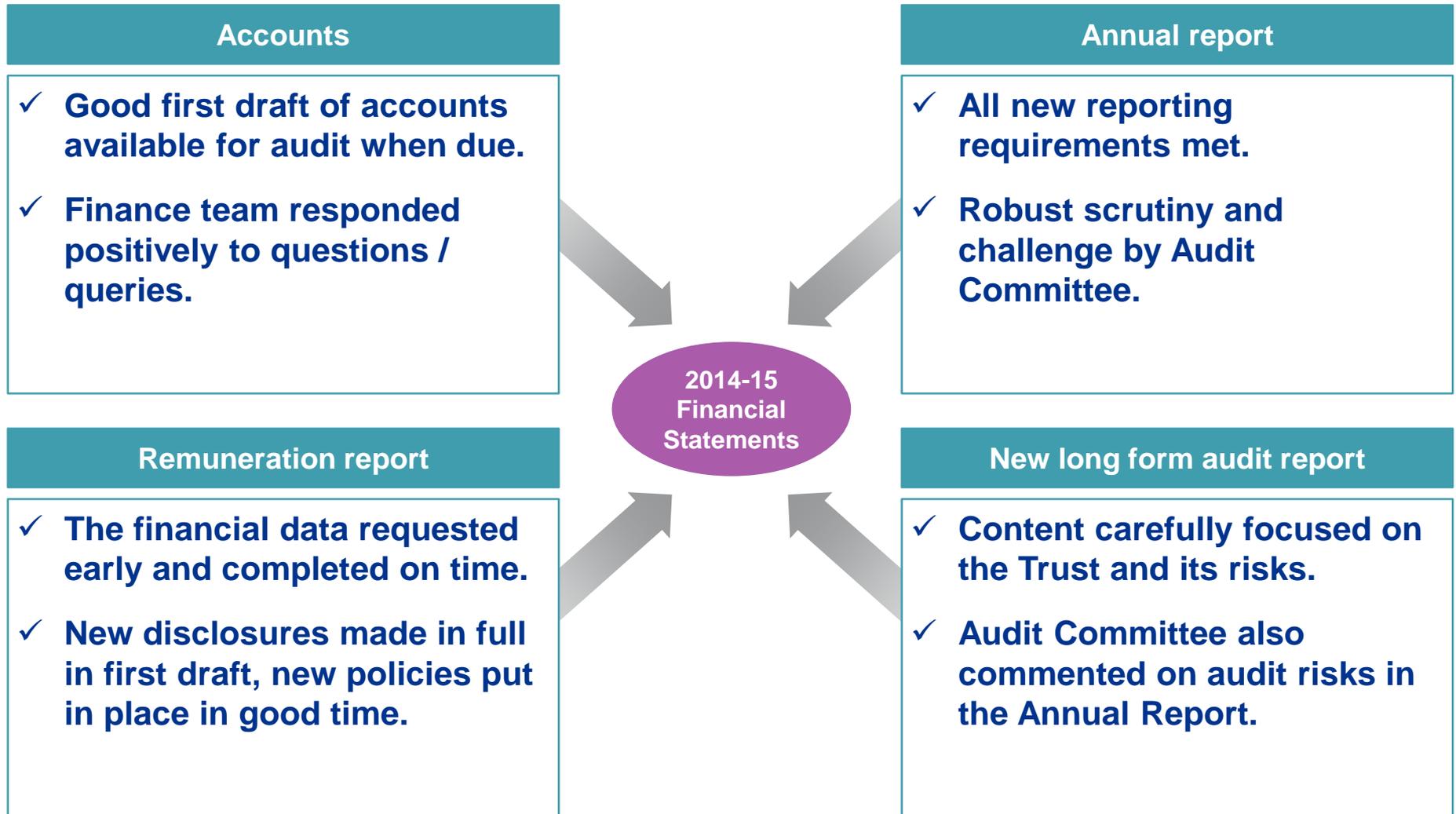
Means we have looked at how the Board works and what the Trust's main regulators, Monitor and the Care Quality Commission, have said about it and found no significant concerns.

Quality Report

- Limited assurance (clean) opinion on the content of the quality report but no opinions given on the "18 week referral to treatment" and "62 day cancer waits" indicators.

Means the Trust has included everything it should do within the quality report and presented both good performance and areas for development. "Referral to treatment" and "cancer waits" data was not necessarily wrong but could not always be agreed back to records.

3. Financial statements detailed findings



4. Use of resources detailed findings

We are required to consider three areas when assessing the Trust's use of resources:

Annual Governance Statement

- Balanced narrative that reflects our understanding of the Trust's operations and risk management arrangements.

The work of other regulators

- CQC inspection in December 2014 resulted in a "good" overall rating.
- Monitor's financial and governance risk ratings are positive.
- No regulatory action required.

Any other work required

- We reviewed the alternative plans that Management is considering to secure a sustainable financial future if the merger does not go ahead.

5. Quality report detailed findings



Content and consistency



18 Week Referral to Treatment

62 Cancer Waits



Sepsis Bundle

18 week referral to treatment indicator: no opinion issued

- Most complex target which the NHS reports on.
- Guidance only finalised in March 2015 to report the arithmetic average of the month end figure for the past 12 months.
- KPMG provided external audit service to 52 NHS Foundation Trusts (35 acute providers) and only 9 got this opinion.
- *Concerns about system design and data accuracy NOT patient care or patient treatments time.*

62 day cancer waits: no opinion issued

- *Concerns about system design and data accuracy NOT patient care or patient treatments time.*

Sepsis bundle

- *Governor selected indicator which we are not required to issue an opinion on.*
- *If we were, we would not be able to issue a limited assurance opinion due to system design and data accuracy concerns.*

Thank you for listening, any questions?

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